Anomaly Intrusion Detection System using Random Forests and k-Nearest Neighbor

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Abstract— This paper proposed a new approach to design the anomaly intrusion detection system using not only misuse but also anomaly intrusion detection for both training and detection of normal or attacks respectively. The utilized method is the combination of Machine Learning and pattern recognition method for Anomaly Intrusion Detection System(AIDS). The Machine Learning Algorithm, Random Forest, use as a feature selection method and the pattern recognition algorithm, k-Nearest Neighbours for detection and classification of the known and unknown attack classes. The experimental results are obtained by using through intrusion dataset: the KDD Cup 1999 dataset.

Keywords— AIDS, Random Forest, k-Nearest Neighbour, unknown attacks

I. INTRODUCTION

Today, there is a serious problem for computer scientists and practitioners for detection and prevention attacks and it have become a major focus of as computer attacks have become an increasing threat to commercial business as well as our daily lives. Intrusion detection system is intend to monitor the events in a system or network by determining whether is an intrusion or not. It also monitor the network traffic for suspicious activity and alert the network or system administrator about those attacks when occurred. The objective of this system intend to cover the availability, confidentiality and integrity of critical networked information system.

Researchers have developed two main approaches for intrusion detection: misuse and anomaly intrusion detection. Misuse consists of representing the specific patterns of intrusions that exploit known system vulnerabilities or violate system security policies.

On the other side, anomaly detection assumes that all intrusive activities are necessarily anomalous. This means that if we could establish a normal activity profile for a system, we could, in theory, flag all system states varying from the established profile as intrusion attempts. These two kinds of systems have their own strengths and weaknesses.

The former can detect known attacks with a very high accuracy via pattern matching on known signatures, but cannot detect novel attacks because their signatures are not yet available for pattern matching. The latter can detect novel attacks but in general for most such existing systems, have a high false alarm rate because it is difficult to generate practical normal behaviour profiles for protected systems.

We construct a model which not only reducing feature for fast and but also increasing detection accuracy on detection known and unknown attacks. In our experiments, we use the data which originates from MIT's Lincoln Lab; a benchmark datasets. It was developed for Intrusion Detection System evaluations by DARPA. During the experiment, we examine the attack in four types, denial of service, user to root, root to local and probe, distinguish with normal.

The rest of the paper is organized as follows. Section 2 presents the related works using corresponding machine learning Algorithms for proposed model. Section 3 introduce about the our proposed model for AIDS. Section 4 described the KDD 99 intrusion detection cup dataset. Using those machine learning algorithms in our proposed system, which presented in Section 2, Section 5 describes the experimental results obtained by using WEKA tool[15]. Section 6 for conclusion for this paper.

II. RELATED WORK

A IDDM (Intrusion Detection using Data Mining Techniques) [24] is a real-time NIDS for misuse and anomaly detection. It applied association rules, Meta rules, and characteristic rules. Jiong Zhang and Mohammad Zulkernine [21] employ random forests for intrusion detection system. Random forests algorithm is more accurate and efficient on large dataset like network traffic. We also use this data mining technique to select features and handle imbalanced intrusion problem. The most related work to ours is done also by them [19]. They use Random Forests Algorithm over rule-based NIDSs. Thus, novel attacks can be detected in this network intrusion detection system.

In contrast to the previously proposed data mining based IDSs, we employ random forests for anomaly intrusion detection. Random forests algorithm is more accurate and efficient on large dataset like network traffic. We also use the data mining techniques to select features and handle imbalanced intrusion problem.[16]

Random Forest (RDF) also intend to handle new instances that are not considered in all current supervised machine learning techniques[21], And k-Nearest Neighbor(k-NN) algorithm, is one of those algorithms that are very simple to understand but

works incredibly well in practice. k-NN method was used as a supporter method for multi-class classification [22][25].

III. DATASETS DESCRIPTION

A Since 1999, KDD'99 [12] has been the most widely used data set for the evaluation of anomaly detection methods. This data set is built based on the data captured in DARPA'98 IDS evaluation program [8]. DARPA'98 is about 4 gigabytes of compressed raw (binary) tcpdump data of 7 weeks of network traffic. The two weeks of test data have around 2 million connection records. KDD training dataset consists of approximately 4,900,000 single connection vectors each of which contains 41 features and is labeled as either normal or an attack, with exactly one specific attack type. The simulated attacks fall in one of the following four categories:

(1) Denial of Service Attack (DoS): is an attack in which the attacker makes some computing or memory resource too busy or too full to handle legitimate requests, or denies legitimate users access to a machine.

(2) User to Root Attack (U2R): is a class of exploit in which the attacker starts out with access to a normal user account on the system (perhaps gained by sniffing passwords, a dictionary attack, or social engineering) and is able to exploit some vulnerability to gain root access to the system.

(3) Remote to Local Attack (R2L): occurs when an attacker who has the ability to send packets to a machine over a network but who does not have an account on that machine exploits some vulnerability to gain local access as a user of that machine.

(4) Probing Attack: is an attempt to gather information about a network of computers for the apparent purpose of circumventing its security controls. Table 1 showed the four categories and their corresponding attacks on each categories.

TABLE II
CLASSIFICATION OF ATTACKS ON KDD DATASET

Classification of Attacks	Attack Name
DoS	smurf, land, pod, teardrop, neptune, back
R2L	ftp_write, guess_passwd, imap, multihop, phf, spy,
U2R	perl, buffer_overflow, rootkit, loadmodule
Probe	ipsweep, nmap, satan, portsweep

It is important to note that the test data is not from the same probability distribution as the training data, and it includes specific attack types not in the training data which make the task more realistic. Some intrusion experts believe that most novel attacks are variants of known attacks and the signature of known attacks can be sufficient to catch novel variants.

The KDD 99 intrusion detection benchmark consists of three components, which are detailed in Table 2. In the International Knowledge Discovery and Data Mining Tools Competition, only "10% KDD" dataset is employed for the purpose of training [8,9].

This dataset contains 22 attack types and is a more concise version of the "Whole KDD" dataset. It contains more examples of attacks than normal connections and the attack types are not represented equally.

TABLE III
BASIC CHARACTERISTICS OF THE KDD 99 INTRUSION DETECTION DATASETS

Data set	Whole KDD	10% KDD	Corrected KDD
DoS	3883370	391458	223298
U2R	52	52	39
R2L	1126	1126	5993
Probe	41102	4107	2377
normal	972780	97278	97278
Total	4898430	494021	328985

The KDD CUP shared 4 dataset file, Train+, Train+_20Percent, Test+ and Test-21. The first two files represent for training datasets and contain the general attacks. The rest two files represent for testing datasets and contain not only general attacks but also the unknown (novel) attacks. The connection for each attack type is shown in Table 3 [10].

TABLE IIIII
NUMBER OF CONNECTION IN EACH ATTACK TYPE ON KDD DATASETS

Datasets	Normal	DoS	U2R	R2L	Probe	Total
Train+	67343	45927	993	54	11656	125973
Train+20 Percent	13449	9234	206	12	2289	25190
Test+	9711	7458	2421	533	2421	22544
Test-21	2152	4342	2421	533	2402	11850

IV. MACHINE LEARNING ALGORITHMS

To overcome the limitations of the rule-based systems, a number of IDSs employ data mining techniques. Data mining is the analysis of (often large) observational data sets to find patterns or models that are both understandable and useful to the data owner [17][23]. Data mining can efficiently extract patterns of intrusions for misuse detection, establish profiles of normal network activities for anomaly detection, and build classifiers to detect attacks, especially for the vast amount of audit data. Data mining-based systems are more flexible and deployable.

Over the past several years, a growing number of research projects have applied data mining

to intrusion detection with different algorithms. We propose an approach to use random forests and k-Nearest Neighbor in intrusion detection. For instance, those had been applied to prediction, probability estimation, and pattern analysis in multimedia information retrieval and bioinformatics.

Unfortunately, to the best of our knowledge, Random Forests algorithm has not been completely applied to detect novel attacks (unknown attacks) in automatic intrusion detection. Fortunately, we can take advantages from k-NN that can classify in more precisely and an important pattern recognizing method based on representative points.[2]

A. *Random Forests (RDF)*

The Random Forests [4] is an ensemble of unpruned classification or regression trees. Random forest generates many classification trees. Each tree is constructed by a different bootstrap sample from the original data using a tree classification algorithm. After the forest is formed, a new object that needs to be classified is put down each of the tree in the forest for classification. Each tree gives a vote that indicates the tree's decision about the class of the object. The forest chooses the class with the most votes for the object.

The main features of the random forests algorithm are listed as follows:

- It runs efficiently on large data sets with many features.
- It can give the estimates of what features are important.
- It has no nominal data problem and does not over-fit.
- It can handle unbalanced data sets.

B. *k-NN: k-Nearest Neighbor*

k-NN classification is an easy to understand and easy to implement classification technique[22]. Despite its simplicity, it can perform well in many situations. k-NN is particularly well suited for multi-modal classes as well as applications in which an object can have many class labels. For example, for the assignment of functions to genes based on expression profiles, some researchers found that k-NN outperformed SVM, which is a much more sophisticated classification scheme[2].

The 1-Nearest Neighbor(1NN) classifier is an important pattern recognizing method based on representative points [23]. In the 1NN algorithm, whole train samples are taken as representative points and the distances from the test samples to each representative point are computed. The test samples have the same class label as the representative point nearest to them. The k-NN is an extension of 1NN, which determines the test samples through finding the k nearest neighbors.

C. *Feature selection*

In complex classification domains, some data may hinder the classification process. Features may contain false correlations, which hinder the process of detecting intrusions. Further, some features may be redundant since the information they add is contained in other features. Extra features can increase computation time, and can impact the accuracy of IDS. Feature selection improves classification by searching for the subset of features, which best classifies the training data. The features under consideration depend on the type of IDS, for example, network-based IDS will analyze network related information such as packet destination IP address, logged in time of a user, type of protocol, duration of connection etc. It is not known which of these features are redundant or irrelevant for IDS and which ones are relevant or essential for IDS. There does not exist any model or function that captures the relationship between different features or between the different attacks and features. If such a model did exist, the intrusion detection process would be simple and straightforward. In this paper we use data mining techniques for feature selection. The subset of selected features is then used to detect intrusions.

TABLE IVII
A TABLE OF FEATURE HAVE BEEN EXTRACTED IN THE PROCESS APPLYING DATA MINING TECHNIQUES TO IDSS

% of same service to same host	# different services accessed
% on same host to same service	# establishment errors
average duration / all services	# FIN flags
average duration /current host	# ICMP packets
average duration / current service	# keys with outside hosts
bytes transfered / all services	# new keys
bytes transfered / current host	# other errors
bytes transfered / current service	# packets to all services
Destination bytes	# RST flags
Destination IP	# SYN flags
Destination port	# to certain services
Duplicate ACK rate	# to privileged services
Duration	# to the same host
Hole rate	# to the same service
Land packet	# to unprivileged services
Protocol	# total connections
Resent rate	# unique keys
Source bytes	# urgent
Source IP	% control packets
Source port	% data packets
TCP Flags	wrong data packet size rate
Timestamp	variance of packet count to keys

D. *Intrusion Detection System (IDS)*

In this section, we describe the methods employed in the system as shown in figure 1, and illustrate how to apply these methods to detect novel attacks with **true positive** rate, low false positive rate for network intrusion detection.

This system is process of identifying the abnormal and normal instances that are two phases. The first is the training phase that reduce the irrelevant features. Next phase is detection phase.

Since the operations of normal instances are specified and they show expected behavior, we could use the knowledge based (misuse) IDS detection, while unexpected activity (presumably an intrusion would be unusual) is continually designed and progressed and could not be seen as a knowledge based attack, therefore the anomaly IDS detection is performed over novel attacks.

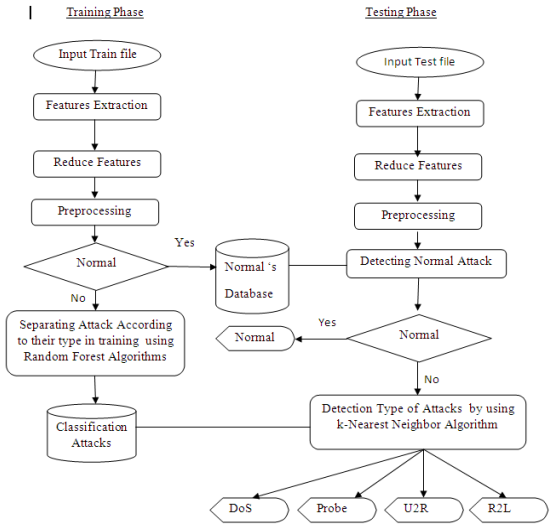

Fig. 1 The System flow for IDSs

We also report our experimental results over the KDD'99 datasets. The results show that the proposed approach provides better performance compared to the best results from the KDD'99 contest.

E. Proposed Model

We proposed a new model for more accurate and detection rate as shown in figure 2 using Knowledge Flow process in WEKA tools.

In this proposed model, as mention in conclusion, the Random Forest can process in feature ranking and selection in most research, we will used it in the filtering process of preprocessing state and it will construct the trees and also select the random features. After preprocessing state, we will use the k-NN algorithm, pattern recognition method for classification state to detect the incoming attacks.

Finally, we will drawn the results with text that express the Ture Positive, False Positive Rate, Precision, Recall and also confusion matrix we can extract.

F. Experimental Results

In this section, we summarize our experimental results to detect unknown attacks for intrusion detection with over the KDD'99 datasets. Experimental results are presented in terms of the classes that achieved good level of discrimination from others in the training set.

Firstly, our proposed system will reduced some features in dataset by using Random Forest algorithm at each connection.

So, system will try to detect various anomaly attacks using corrected KDD dataset. The proposed system will reduced in training time and will increase the accuracy of the system's classification. The experimental results will come out by using WEKA tool [15].

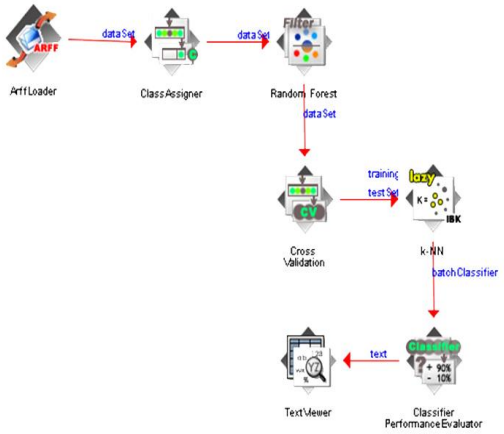

Fig. 2 The proposed Model

In the experiments process, the system use 10 trees and the reduced features (default 6 in WEKA) to classify. The accuracy of the system will be increased other systems as shown in Figure 3 .

Since the test datasets "Test+"and "Test-21" have with different statistical distributions than either "Train+" or "Train_20Percent", the accuracy decrease rather than Cross Validation results with those train files. But as to detect the unknown attack, the results in test file that contains more unknown attack types (novel attacks) than the other datasets get more detection rate of Random Forest can compare with other methods as shown in figure 3.

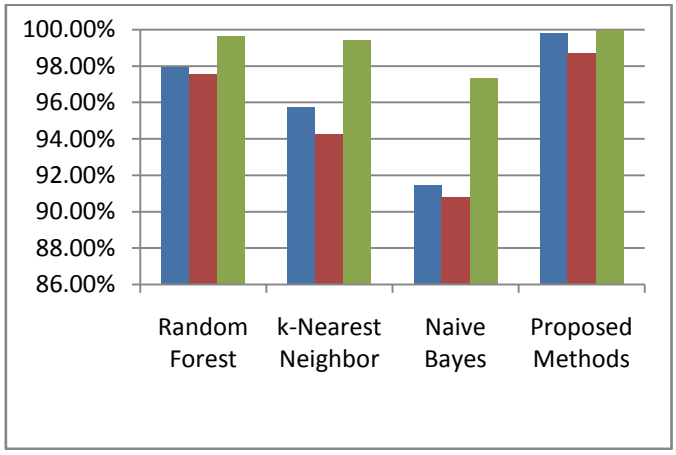

Fig. 3 The Comparison accuracy results between Machine Learning Algorithm Random Forest, k-NN and Naive Bayes.

So according to this results from figure 3, it was significant that our proposed model can use in more precisely in detection attacks.

G. Conclusion and Further Extension

Recent researches employed decision trees, artificial neural networks and a probabilistic classifier and reported, in terms of detection and false alarm rates, but it was still high false positives and irrelevant alerts in detection of novel attacks.

This paper has presented a survey of the various data mining techniques that have been proposed towards the enhancement of anomaly intrusion detection systems. And, we applied the classification methods for classifying the attacks (intrusions) on DARPA dataset. The results showing the performance of the Random Forest is better than other classifiers. But the time taken is more for Random Forest than other classifiers.

On the other hand, k-Nearest Neighbor is also the good modeling algorithm in our experiments.

The reason that the Random Forest cannot consider on pattern recognition, and also k-NN is a good pattern recognition method which used in many researches [3][21][22].

Thus, we can extend this experiment by combining those two algorithms; the system may expect to get the more accurate and detection rate to detected intrusion. Random Forest will process in the filtering stage and the k-NN will use as a classifier.

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